



# HDHL-INTIMIC

## Call for Joint Transnational Research Proposals on “Interrelation of the Intestinal Microbiome, Diet and Health”

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### Guideline and pre-proposal template

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**Submission deadline for pre-proposals:**

**5th of April 2017 at 22:59 UTC**

**Link to: Call Text**

**Link to: “Electronic submission system (PT-Outline)”**

For further information, please visit us on the web

<http://www.healthydietforhealthylife.eu/>

Or contact the Joint Call Secretariat (JCS):

Dr. Friederike Bathe / Dr. Felicitas Bosen  
Joint Call Secretariat  
Project Management Agency  
Member of the German Aerospace Center (DLR)  
Health Research Unit  
Phone: +49-228-3821-1225 (Bathe)  
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## 1. Background

Under the umbrella of HDHL-INTIMIC the Joint Programming Initiative “A Healthy Diet for a Healthy Life” launched a cofunded call on the “Interrelation of the Intestinal Microbiome, Diet and Health”, aiming to fund the most excellent transnational research projects that address important questions regarding the cause-and-effect relationships between diet, the intestinal microbiome and human health. Complementary to the cofunded call, a “Knowledge Platform on Diet, Intestinal Microbiomics and Health” will be established in 2018 as second funding activity in the frame of HDHL-INTIMIC. This future platform will bring together the projects funded under this call with other relevant microbiome-related activities, aiming to foster transnational and multi-disciplinary collaboration and networking.

## 2. Proposal submission

There will be a **two-stage submission procedure**: pre-proposals and full proposals. Both pre- and full proposals should be written in English and must be submitted to the JCS by the coordinator through the [electronic submitting system \(PT-Outline\)](#). The coordinators and all principal investigators of the consortium are encouraged to register in the Meta Data Base of the [JPI HDHL website](#).

**Pre-proposals** must be submitted by the project coordinator before the **5<sup>th</sup> of April 2017 at 22:59 UTC**.

**Full proposals** must be submitted by the project coordinator before **the 20<sup>th</sup> of July 2017 at 22:59 UTC**. Please note that full proposals will only be accepted from applicants explicitly invited by the JCS to submit them. A guideline and template for full proposals will be provided to those applicants at a later stage.

Call deadlines are final and will be strictly enforced. The electronic system will not allow submissions after call deadlines! Please take into account that the online data entry may be overloaded by the day of the deadline. It is therefore recommended to upload all the required material well beforehand.

**IMPORTANT: Each project partner will be subject to the rules and regulations of its respective national/regional funding agency. Details of the national/regional eligibility criteria and guidelines from individual funding agencies are provided in the Annex of this document.**

# Pre-proposal application form

One joint pre-proposal document (in English) shall be prepared by the partners of a joint transnational project and must be submitted by the coordinator via the electronic submission system [PT-Outline](#). Only transnational projects will be funded (please see consortium properties described in the [Call text](#)).

## 1. Checklist for the Coordinator:

***In order to make sure that your proposal will be eligible for this call, please collect the information required to tick all the sections below before starting to complete this application form. Please refer to the [call text](#) for further details.***

### - General condition:

The project proposal addresses the **AIM/S** of the call

### - The composition of the consortium:

The project proposal involves at least 3 eligible project partners from at least 3 different countries participating in the call. *Please note:* Each project partner (i.e. research group participating in the consortium) must be represented by a single principle investigator.

The project proposal involves a maximum of 6 eligible research partners asking for funding.

**Please note:** Additional participants (named project collaborators) may participate in projects if they clearly demonstrate an added value to the consortium and are able to secure their own funding. Collaborators can be researcher(s) or international organisations that are not applying for funding from the participating funding organisations or that are from countries not participating in this call.

The total number of partners in the consortium, including additional collaborators who are not applying for funding, must not exceed eight.

The coordinator and the majority of partners in the consortium are eligible for funding.

The project proposal does not include more than two partners from the same country participating in the call.

### - Eligibility of project partners:

I have made sure that each project partner involved in the project proposal has checked its eligibility to receive funding by its funding agency (see Annex of this document).

(if applicable) Italian partners involved in the proposal have submitted their national eligibility check forms (available on [www.ricercainternazionale.miur.it](http://www.ricercainternazionale.miur.it)) to their national funding organization by the deadline of the call.

### Please note:

- Proposals that **do not meet the national eligibility criteria and requirements may be declined without further review.**

- All fields must be completed using **Arial 11, single-spaced, margins of 1.27 cm.** Incomplete proposals, proposals using a different format or exceeding length limitations of any sections will be rejected without further review.

- Once completed the pre-proposal must be converted in a **single PDF document** before being uploaded to the submission website.

- Submission via the [electronic submission system \(PT-Outline\)](#) is mandatory. No other means of submission will be accepted.

In case of inconsistency between the information registered in the electronic submission system and the information included in the PDF of this application form, the **information registered in the electronic submission tool shall prevail.**

## 2. Pre-proposal structure (please find [here](#) the respective word-file)

### 1.a Project Title:

### 1.b Project acronym (max. 10 characters):

### 2. Consortium Coordinator:

<b>Family Name, first Name</b>	
<b>Name of Institution</b>	
<b>Department</b>	
<b>Position</b>	
<b>Postal Address</b>	
<b>Email Address</b>	
<b>Country</b>	
<b>Type of Entity</b>	Academia, Clinical or Public Health, SME or Industry

### 3. Project Partners (max. 6 *including* Coordinator):

No.	City, Country	Name of the Principal Investigator	Institution, Department, full affiliations	Type of entity: Academia, Clinical or Public Health, SME and Industry
1				
2				
3				
4				
5				

### 4. Project Collaborators (not applying for funding) - *Consortium size must not exceed 8 in total*

No.	City, Country	Name of the Principal Investigator	Institution, Department, full affiliations	Type of entity: Academia, Clinical or Public Health, SME and Industry
1				
2				
3				

### 4. Duration of the project (months):

### 5. Total funding applied for (€):

### 7. Keywords

Please identify five keywords that represent the scientific content of the pre-proposal.

### 8. Project Summary (max. 1499 characters including spaces)

**9. Project description** (once converted into PDF document: max. 6 pages DIN-A4, Arial 11, single-spaced, margins of 1.27 cm).

Including:

- background, present state of the art and preliminary results obtained by the consortium members;
- objectives, the rationale, the methodology highlighting the novelty, originality and feasibility;
- potential of the expected results for future public health, other socio economic health relevant applications and/or for industry;
- added value of the transnational collaboration and multidisciplinary expertise in the consortium;
- statement on how the FAIR data principles<sup>1</sup> will be applied in the management of the data gathered in the proposed project;
- potential contribution of the data/knowledge gained in the proposed project to the transnational and multidisciplinary collaboration and networking activities in the future “Knowledge Platform on Diet, Intestinal Microbiomics and Health” (see [call text](#)).

**10. In addition**, two more pages can be added to the pre-proposal (*optional*):

- a list of references (max. 1 page, for references a font size of 6pt is acceptable.)
- a page of diagrams, figures, etc. to support the work plan description, timeline and interconnections of work packages (gantt chart, PERT or similar) (max. 1 page)

**11. Brief CV for each principal investigator** (once converted into PDF document: max. 1 page DIN-A4, Arial 11, single-spaced, margins of 1.27 cm per principal investigator). Please follow this format:

<b>Personal information</b>	<i>First name, last name, academic title Institution and department (complete name)</i>
<b>Current position</b>	
<b>Position in the consortium</b>	<i>Please indicate the subproject you will be working in.</i>
<b>Work experience relevant for the consortium</b>	
<b>Professional background</b>	
<b>Publications</b>	<i>Please list your five most relevant publications of the last ten years</i>
<b>Additional information</b>	<i>Honors, awards, memberships or references; up to 5 relevant third-party funded projects conducted in the area in the past 5 years</i>

**12. Budget**

For each partner who requests funding, please briefly explain the requested budget by dealing with information on the following budget items (if applied for). Please make sure that also a short justification is included for each item. In addition, specification of co-funding from other sources

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<sup>1</sup> [FAIR PRINCIPLE Document](#)

necessary for the project as well as secured funding of additional collaborators of the consortium should be explained here, if applicable.

<b>Coordinator</b>		
<b>Position</b>	<b>Requested Amount (€)</b>	<b>Details and justification</b>
Personnel		<i>Person-Months and position of employment</i>
Consumables		<i>e.g., questionnaires, materials</i>
Equipment		<i>e.g., laboratory devices, IT infrastructure</i>
Travel <sup>1</sup>		<i>Please provide information on expected travel expenses<sup>1</sup></i>
Other direct costs <sup>2</sup>		<i>e.g., subcontracting, provisions, licensing fees</i>
<b>Total direct costs</b>		
Indirect costs (Overhead) <sup>3</sup>		<i>Brief information on the calculation of overheads</i>
<b>Total budget</b>		

<b>Partner: 1</b>		
<b>Position</b>	<b>Requested Amount (€)</b>	<b>Details and justification</b>
Personnel		<i>Person-Months and position of employment</i>
Consumables		<i>e.g., questionnaires, materials</i>
Equipment		<i>e.g., laboratory devices, IT infrastructure</i>
Travel <sup>1</sup>		<i>Please provide information on expected travel expenses<sup>2</sup></i>
Other direct costs		<i>e.g., subcontracting, provisions, licensing fees</i>
<b>Total direct costs</b>		
Indirect costs (Overhead)		<i>Brief information on the calculation of overheads</i>
<b>Total budget</b>		

<sup>1</sup>: Please consider travel costs for a minimum of 4 meetings of the future Knowledge Platform (for at least 2 consortium members).

<sup>2</sup>: e.g. subcontracting, provisions, licensing fees; may not be eligible costs in all countries (will be handled according national regulations)

<sup>3</sup>: Overhead costs: funding according to national regulations

**Please add tables for further partners as required. For partners not eligible for funding (collaborators): Please give information on the budget and how these funds will be secured.**

## Overall Budget Plan

HDHL-INTIMIC							
Project Acronym:							
	Coordinator	Partner 1	Partner 2	Partner 3	Partner 4	Partner 5	
Name (group leader)							
Institution							
Country							
Funding organisation							
<b>PROJECT COSTS (€)</b>							<b>Total</b>
Personnel €							
Consumables €							
Equipment €							
Travel € <sup>1</sup>							
Other direct costs € <sup>2</sup>							
Overheads € <sup>3</sup>							
<b>Total requested budget €</b>							
<p><sup>1</sup> Please consider travel costs for a minimum of 4 meetings of the future Knowledge Platform (for at least 2 consortium members).</p> <p><sup>2</sup> e.g. subcontracting, provisions, licensing fees; may not be eligible costs in all countries (will be handled according national regulations)</p> <p><sup>3</sup> Overhead costs: funding according to national regulations</p>							

**We strongly recommend to check the national call texts and consult with the national/regional contact officers**

**13. Date and signature of the coordinator**

## **ANNEX: Specific national regulations**

### **AUSTRIA**

**Federal Ministry of Science, Research and Economy  
(BMWFV)**



### **Eligibility criteria**

Please refer to Astrid Flandorfer (below) for further information

### **Funding:**

300.000 €

### **Contact:**

#### **Dr. Oliver Mayer**

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Referat für Life Sciences  
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#### **Dr. Astrid Flandorfer**

Österreichische Forschungsförderungsgesellschaft (FFG)  
Europäische und Internationale Programme  
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## **BELGIUM**

### **Institute for Agricultural and Fisheries Research (ILVO)**



#### **Eligibility:**

The programme that can be used for participation in the co-funded call is called: ILVO-PhD. This programme is topic-specific. The topics will be evaluated by the programme-committee. In case of acceptance of one or more topics, the programme is open for every researcher (with full competition), as long as the following criteria are fulfilled:

- » have the necessary and relevant diplomas (at least graduated cum laude at any University)
- » have the European nationality
- » less than 365 days experience in research
- » attest of irreproachable behaviour
- » the willingness and commitment to write a PhD

The proposed project must have a total duration of 2 times 2 years. Since the cofund proposals are expected to have a three year duration, they should be incorporated in the first three years. After 2 years an evaluation procedure is carried out. A positive evaluation is mandatory for acceptance of the last 2 years of the project to be financed. Co-financing of the project with European Universities or other research institutions is encouraged (for example, 2 year financing from the ILVO-PhD programme and 2 years co-financing from a University). Both the candidate and the project will be evaluated by an external evaluation committee (with a different composition as the programme-committee).

#### **Funding:**

150.000 €

#### **Contact:**

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Flanders research institute for agricultural, fisheries and food (ILVO)  
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9090 MELLE  
BELGIUM  
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## BELGIUM

### Fund for Scientific Research (FNRS)



#### Eligibility:

##### Eligibility of the proposal

Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the joint call

- Basic research (low Technology Readiness Level) carried out in a research institution from the “Fédération Wallonie-Bruxelles”
- The FNRS will not fund industrial partners or any activity related to the private sector.

##### Eligibility of applying scientists

The applicant must be affiliated to a research institution from the Fédération Wallonie-Bruxelles.

The applicant should also:

- be a permanent researcher of F.R.S. - FNRS (Chercheur qualifié, Maître de recherches or Directeur de recherches),
- or hold a tenure track position (or an assimilated position including pending tenure track) within a research institution from the Fédération Wallonie-Bruxelles,
- or be a permanent research staff member in the ‘Ecole Royale Militaire’,
- or be a permanent research staff member of a federal scientific institution in which case he can act as a co-promotor only.
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The applicant should not have reached retirement at the starting date of the project. If the applicant reaches the age of retirement in the course of the project, he should precisely describe in the proposal how the handover will be managed.

#### Eligible cost items

The maximum amount allocated per project is 200.000 EUR. The following costs are eligible:

##### Personnel:

- Scientifique doctorant € 37.200/year
- Scientifique non postdoctoral € 63.300/year
- Scientifique postdoctoral € 73.800/year
- Technicien € 53.700 (full time/year) - € 27.200 (half time/year)
- Chercheur temporaire postdoctoral € 47.600/year

The categories « scientifique doctorant » and « chercheur temporaire postdoctoral » can only be Full time positions. The category « vétérinaire clinicien-chercheur spécialiste » is a part-time position. The three other positions can be filled in either Full time or part-time.

- *Equipment* (max. 30.000 EUR/year)
- *Running costs*: travel expenses; organisation of small scientific events in Belgium; consumables and the following support costs:
  - Conception d'ouvrage
  - Réalisation de dictionnaire
  - Achat de livre

- Encodage
- Location de licence de logiciel
- Inscription à un congrès
- Ordinateur
- Scannage

“Overhead” is not an eligible cost. If the project is selected for funding, these costs will be subject to a separate agreement between the institution of the beneficiary and the F.R.S.-FNRS. General rules and regulations of FNRS apply: [www.frs-fnrs.be](http://www.frs-fnrs.be)

**Funding:**

200.000€

**Contact:**

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Rue d'Egmont, 5  
1000 Brussels

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## FRANCE

### French National Research Agency (ANR)



#### Eligibility:

Eligible institutions:

- Public research institutes such as EPST, EPIC, universities, university hospitals, non-university research institutes (max. rate of support: 100% of marginal costs)
- Enterprises: large & SMEs (max. rate of support: 45% of total costs for SMEs & 30% for larger companies)

Additional eligibility criteria:

- The coordinator (if from a French institution) must belong to a public research organisation.
- ANR will avoid double funding and will not finance projects or part of projects that have been funded through other calls. ANR will cross-check the proposals submitted to ANR through the national and international calls for possible demands of double funding.

Eligible costs:

Personnel costs for temporary contracts; small equipment; consumables and animal costs; travel; and sub-contracting, if necessary to carry out the proposed activities (sub-contracting costs of max 50% of requested budget per partner).

Please note that at ANR « overheads » means «frais d'environnement (ou frais généraux de gestion ou de structure »), and 8% of the total eligible costs must be applied if the partner belongs to a public research organisation, or 68% of the total personnel costs and 7% of other costs if you belong to another category (cf "Règlement financier ANR – section 4.2.1.e)

#### Funding:

- Total budget : 1,5 M€
- Minimum amount per partner: 15 k€
- Maximum funding per partner: 0.25 M€
- Maximum funding per coordinator: 0.3 M€
- Please see online the specific annexe document for research partners applying to this call for proposals for funding in France: <http://www.agence-nationale-recherche.fr>

#### Contact:

**Dr. Juliane Halftermeyer**

**Dr. Martine Batoux**

Health and Biology Department

Agence Nationale de la Recherche (ANR)

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E : [JPI-HDHLCalls@agencerecherche.fr](mailto:JPI-HDHLCalls@agencerecherche.fr)

## GERMANY

### Federal Ministry of Education and Research (BMBF)

See also German version of the call

(<http://www.gesundheitsforschung-bmbf.de/de/6801.php>).



#### Eligibility:

Eligible applicants are German Universities, German University hospitals, German non-University Research Institutes and Industry/SMEs registered in Germany (subject to certain conditions).

Funding rates for universities, university hospitals and non-university research institutes can be up to 100% of their costs. Industry can be funded with a maximum of 50-60% of their cost.

The following costs are eligible for funding:

- Personnel
- Consumables
- Subcontracts
- Equipment
- Travel
- Overheads

Overheads are eligible according to standard BMBF regulations.

**An additional pre-proposal annex is required, in which all project partners confirm that they are aware of the pre-proposal content and acknowledge that any information given is correct. This annex must be signed by all project partners (electronic signatures sufficient) and should be part of the pre-proposal.** (Der Skizze ist ein Anschreiben/Vorblatt zur Einreichung beizulegen, in welchem die Projektleiterinnen bzw. Projektleiter mittels Unterschrift die Kenntnisnahme sowie die Richtigkeit der in der Skizze gemachten Angaben bestätigen).

#### Funding:

2 M €, approx.6-7 research groups

#### Contact:

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53227 Bonn  
Germany

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**Dr. Friederike Bathe**  
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**Dr. Felicitas Bosen**  
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## GERMANY

### Federal Ministry of Food and Agriculture (BMEL)



See also BMEL call announcement on BLE homepage.

#### Eligibility:

Eligible applicants are German Universities, German University hospitals, German non-University Research Institutes registered in Germany.

Funding rates for universities, university hospitals and non-university research institutes can be up to 100% of their costs.

The following costs are eligible for funding:

- Personnel
- Consumables
- Subcontracts
- Equipment
- Travel

Note: For BMEL overhead costs are not eligible.

#### Funding:

500.000 €, 2 research groups requesting 250.000 € funding each.

BMEL funding of the ERA-NET INTIMIC co-funded Call is provided as delineated in the national announcement published in the Bundesanzeiger, link to be found on BLE homepage [www.ble.de](http://www.ble.de).

- Proposed projects must be in line with the national announcement.
- Each member of the consortium must clearly present their goals and work within the project, global and local (country-specific), the financial and time tables and the points of actual collaboration with the international partners.
- Double funding is not possible.
- The German project partners of positively evaluated full proposals will, at a later stage, be invited to submit national application forms (AZA or AZK) using the electronic proposal assistant "easy" (see <https://foerderportal.bund.de/easyonline/>) for details.

Note: The guidelines for preparing AZA/AZK have to be considered for any cost calculations in the full proposal !

#### Contact:

pt-ble  
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53179 Bonn  
Germany

#### Babette Breuer

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## Israel

### Ministry of Science, Technology and Space (MoST)



#### Eligibility:

Proposals must meet the following preconditions. Those, which do not meet the preconditions, will be automatically rejected, and will not be passed on to the SEC for review and evaluation.

1. The Principal Investigator (PI) must be affiliated with an academic institution, meaning –
  - i. An accredited institution of higher learning in Israel, according to the Council for Higher Education Law, 1958.
  - ii. A Research Institute recognized as such by the Israel Science Foundation (ISF).
  - iii. A Research Institute which is a nonprofit organization.
  - iv. A Research Institute which is a government company or a government unit.

For the purposes of this Call a "Research Institute" means a research institute which a significant portion of its activity is the advancement of cutting-edge scientific knowledge, which possesses appropriate infrastructure and equipment, and which employs researchers who, inter alia, publish articles related to their research in leading scientific journals and who present their research at international symposia.

Industrial partners are encouraged to participate in research teams headed by Principal Investigators from an academic institution.

2. Israeli applicants will use the forms appended to this call and attach the required supplementary documentation as detailed in the Application Form.
3. Israeli Principal Investigators (and affiliated academic institution) are responsible to Israeli MOST for the execution of the project.
4. Proposals for which funding is approved, which include experiments with animals, must submit the approval of the relevant Committee for Experimentation on Animals before the project can commence.
5. Proposals for which funding is approved, which involve human beings, must submit the approval of the relevant Helsinki Committee before the project can commence.

#### Funding:

350.000 €

#### Contact:

Dr. Hagit Schwimmer

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Israel's Ministry of Science, Technology and Space

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## ITALY

### Ministry of Education, University and Research (MIUR)



#### Eligibility

##### 1) *Type/nature of participants*

According to art. 60 of the Decree-Law n. 83/2012 and art. 5 of its implementing Ministerial decree 593/2016, the following entities are eligible for funding, providing that they have stable organization in Italy: 1. Enterprises (including private IRCCS, "Istituti di Ricovero e Cura a carattere scientifico"); 2. Private research bodies; 3. Consortia; 4. Universities; 5. Public research institutions (including public IRCCS); 6. Research organizations (public and private) in accordance with EU Reg. n. 651/2014 of the European Commission - June 17, 2014.

A maximum of two Italian participants per project proposal is admitted.

##### 2) *Legal/administrative/financial conditions:*

The participant must not be defaulting with regard to other funding received by MIUR.

The participant must not have requested/got any other funding for the same research activities.

The participant must respect the Italian law against "mafia".

##### 3) *Financial conditions*

For any private entity, the following financial criteria, calculated using the data reported in the last approved balance sheet, must be fulfilled:

#### **I. $CN > (CP - I)/2$**

Where:

CN = net assets (Capitale netto)

CP = sum of the costs of all the projects for which public funding has been requested by the participant during the year

I = sum of the contributions received, approved or requested for the same projects

#### **II. $OF/F < 8\%$**

Where:

OF = financial charges (Oneri finanziari)

F = turnover (Fatturato)

#### Funding

Total budget is € 500.000,00. A maximum grant of 200.000 Euros can be awarded to each project proposal, even if it includes more than one Italian participant.

#### Eligible costs

All costs incurred during the lifetime of the project under the following categories are eligible: Personnel, Equipment, Subcontracting, Consumables and Overheads.

#### Activity Typology and funding rates

All activities classifiable as Basic research, Industrial research and Experimental development are eligible for funding.

Furthermore, Basic research and Industrial research activities must be predominant with respect to Experimental development activities.

I. For universities, public research institutions, and research organizations (public and private) in accordance with EU Reg. n. 651/2014 of the European Commission - June 17, 2014:

- Basic research:

Grants: 70% of eligible costs;

- Industrial research:

Grants: 50% of eligible costs;

- Experimental development:

Grants: 25% of eligible costs.

II. For enterprises, consortia, private research bodies (which do not meet the research organization requirements) and all other private entities:

- Basic research:

Grants: 20% of eligible costs;

- Industrial research:

Grants: 20% of eligible costs;

- Experimental development:

Grants: 10% of eligible costs.

For transnational projects submitted by small and medium enterprises, the intensity of the grant contribution is increased by 10% for medium enterprises and by 20% for small enterprises.

On request of applicants a pre-payment may be done, equal to:

- 80% of the total contribution for public entities;

- 50% of the total contribution for private entities.

The remaining part of contribute will be paid in instalments after each financial and progress reporting period

#### **National Forms and deadlines:**

In addition to pre-proposals and full proposals that shall be submitted at European level, Italian participants are required to provide a set of additional national documents as defined in the “Avviso integrativo nazionale” published on MIUR’s website ([www.ricercainternazionale.miur.it](http://www.ricercainternazionale.miur.it)). These additional national documents must be sent to MIUR by the same deadline of the pre-proposal phase submission established in the international joint call.

**Participants who does not send their national documents by the deadline of the call, will be considered not eligible for funding.**

The admission for funding is subject to the adoption of the necessary accounting and administrative measures for the allocation of the resources.

Funded participants will be requested to submit financial and scientific reports to MIUR.

#### **Additional information:**

The criteria and provisions provided herewith are intended only for informative purposes. The complete list of criteria and provisions legally valid, which must be respected by all the Italian participants, is included in the “Avviso integrativo nazionale”, which will be published on MIUR website ([www.ricercainternazionale.miur.it](http://www.ricercainternazionale.miur.it)) and in the applicable Italian laws.

#### **Contact:**

For international aspects:

Dr. Giovina Ruberti, +39 06 90091263, [giovina.ruberti@cnr.it](mailto:giovina.ruberti@cnr.it)

Dr. Aldo Covello, +39 06 9772 6465, [aldo.covello@miur.it](mailto:aldo.covello@miur.it)

Dr. Gaia Brenna, +39 06 9772 7404, [gaia.brenna@miur.it](mailto:gaia.brenna@miur.it)

For national aspects:

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## THE NETHERLANDS

The Netherlands Organisation for  
Health Research and Development (ZonMw)



<b>National contact person</b>	<p>Dr. Wilke van Ansem Programme Officer JPI HDHL</p> <p>Email: <a href="mailto:jpihdhl@zonmw.nl">jpihdhl@zonmw.nl</a> Phone: + 31 70 3495164</p>
<b>Funding commitment</b>	€1.000.000,-
<b>Anticipated number of fundable proposals</b>	<p>ZonMw aims to fund 3-4 projects.</p> <p>Indicative budget for applicant approx. € 300.000,- Indicative budget for coordinator approx. €350.000,-</p>
<b>Private Partner</b>	Private parties should co-fund <b>at least 15%</b> of the project cost of the Dutch part of the project in-cash.
<b>Eligibility of project duration</b>	Up to three years
<b>Eligibility of a partners</b>	<p>Research organisations, such as universities (or other higher education institutions), academic hospitals and non-university public research institutes. Participation of a private party/private parties is required.</p> <p>Per project <b>only 1 Dutch researcher</b> (e.g. the principal investigator) should be registered on the application. This cannot be the private party.</p> <p>Potential applicants are strongly advised to contact ZonMw in advance of making an application to discuss any eligibility.</p>
<b>Eligibility of costs, types and their caps</b>	<p>Relevant project expenses, such as:</p> <ul style="list-style-type: none"> <li>- Salary-related costs</li> <li>- Travel costs</li> <li>- Direct running costs</li> <li>- Dissemination and knowledge exchange costs.</li> </ul> <p>To fill out the HDHL INTIMIC budgetary tables, please use the output gathered by completing the ZonMw format for budgetary tables and the terms and financial conditions stated at the following website: <a href="http://www.zonmw.nl/nl/subsidies/voorwaarden-en-financien/">http://www.zonmw.nl/nl/subsidies/voorwaarden-en-financien/</a></p>
<b>Submission of the proposal at the national level</b>	Yes, in case your proposal is recommended for funding, we will ask you to submit general information and upload the consortium proposal and the ZonMw budgetary tables of the Dutch partners in ZonMw ProjectNet.
<b>Submission of other information at the national level</b>	<p><b>Pre-proposals:</b> Dutch applicants should inform ZonMw by email regarding the participation of the private party/parties (name private party + information contact person) before the submission date of the pre-proposals.</p> <p><b>Full-proposals:</b> A signed letter of commitment of the private party/parties should be send by email to ZonMw before the submission date of the full proposals.</p>

## SPAIN

### National Institute of Health Carlos III (ISCIII)



## Eligibility

### Eligible institutions

	Coordinator	Partner
Hospitals, primary health care or public health settings of the Spanish National Health System (SNS) <sup>1</sup>	YES	YES
Accredited Health Research Institutes (Institutos de Investigación Sanitaria acreditados, IIS) <sup>2</sup>	YES	NO
CIBER or CIBERNED	YES	NO

<sup>1</sup> These institutions may manage research via a foundation regulated in accordance to the Spanish Act 50/2002, of December 26<sup>th</sup> (a copy of the foundation's statutes may be submitted)

<sup>2</sup> Accredited according to the RD 339/2004, of February 27<sup>th</sup> (These institutions may manage research via a foundation regulated according to the Spanish Act 50/ 2002, of December 26<sup>th</sup>) <http://www.eng.isciii.es/ISCIII/es/contenidos/fd-investigacion/fd-institutos-investigacion-sanitaria/listado-de-iis-acreditados.shtml>

## NOTE

- Only one partner per beneficiary institution may be funded within the same proposal
- SMEs and other private companies are encouraged to participate at their own cost, as subcontractors or funded by other sources including CDTI open calls for internationalization
- Due to administrative and legal regulations, the **National Institute of Health Carlos III declares the 22nd of September 2017 as national deadline for the decision on fundable project consortia which include Spanish partners to be funded by ISCIII**. Any concerned applicant in a proposal for which no final decision has been made by the deadline, will be declared not fundable by ISCIII.

### Additional eligibility criteria

- Only one proposal per partner is allowed
- There is no other incompatibility with AES 2017. Incompatibilities with other calls are subject to their respective specifications\*

### Eligibility of PI and team members

The Principal Investigator (PI) and all members of the research group must belong to the eligible institution or be affiliated to CIBER, CIBERNED or an IIS.

#### Excluded personnel as Principal Investigator (PI):

- Those undergoing a postgraduate training in Health Specialization (MIR, FIR, QIR, BIR, PIR)
- Those undergoing research training (e.g. PhD students, or "Río Hortega" contracts)
- Researchers contracted by a RETIC or a CONSOLIDER
- Those undergoing postdoctoral training (e.g. "Sara Borrell" or "Juan de la Cierva" contracts)

## Funding

**National Funding Programme**

Acción Estratégica en Salud (AES 2017)

<http://www.isciii.es/ISCIII/es/contenidos/fd-investigacion/fd-financiacion/convocatorias-ayudas-accion-estrategica-salud.shtml>

**Initial funding pre-commitment**

0.500M€

2-3 research groups

**Maximum funding per awarded Spanish project partner**

Up to 100.000 € per partner (overheads included)

Up to 150.000 € per coordinator (overheads included)

Only 3-year projects

**Eligible costs**

	Coordinator	Partner
Personnel Up to 3-year, full-time or part-time contracts (only for additional personnel) Excluded: Students and fellowships	Total cost per annual full-time contract: Technical expert, higher degree: 29.500 € Technical expert, medium degree: 24.500 € Technical expert, FP II: 20.500 €	Not eligible
Small Equipment	Up to 40.000 €	Up to 20.000 €
Travel and Allowance	Up to 9.000 €	Up to 4.500 €
Consumables	Up to 100% of direct cost	
Subcontracting and other services	Up to 50% of direct cost Private (bio)companies and SMEs included	
Overheads	Up to 21% of direct cost	

**National phase**

National applications will be required from applicants officially invited by ISCIII

**Mandatory acknowledgement**

Any publication resulting from the granted projects must acknowledge “Award no. XX by ISCIII through AES 2017 and within the HDHL-INTIMIC framework” even after the end of the project.

\*In the event of exhaustion of funds by one Spanish funding organization, MINECO and ISCIII reserve the right to transfer the affected project to the funding organization with remaining funds.

**Contact**

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## SPAIN

### Ministry of Economy, Industry and Competitiveness (MINECO)

(through the State Research Agency)



#### **Funding program:**

*Programa Estatal de Investigación, Desarrollo e Innovación Orientada a los Retos de la Sociedad, Plan Estatal de Investigación Científica y Técnica y de Innovación 2013-2016. [Link to Plan Estatal](#)*

The instrument for funding the Spanish groups will be the Spanish Call on International Joint Programming Actions (*Acciones de Programación Conjunta Internacional*) or equivalent. Only as a reference, the beneficiaries are advised to read the call. [APCIN 2016](#)

The Spanish legal entities granted are obliged by the regulations established in this APCIN call and by the funding limit specified below.

Call will be managed by the [Subdivisión de Programas Científico-Técnicos Transversales, Fortalecimiento y Excelencia](#).

#### **Funding objective :**

The projects granted by the Ministry of Economy, Industry and Competitiveness must be aligned with the main objectives described in the *Programa Estatal*. [Link to Plan Estatal](#)

#### **Anticipated amount of funding:**

Maximum of funding for the ERA-NET Cofund HDHL-INTIMIC: 900.000€

#### **Contact person:**

Dr Carlos Martínez Riera (Scientific issues)  
Ana Barra (Administrative and technical issues)  
Telephone: (+34) 916038398  
Contact e-mail: [hdhl@mineco.es](mailto:hdhl@mineco.es)  
Representative: Dr Estrella Fernández García

#### **Eligible institutions:**

The **eligible entities** for Ministry of Economy, Industry and Competitiveness funding are: Non-profit research organizations according to the APCIN call 2017 (*Acciones de programación conjunta internacional 2017*).

As eligible entities by ISCIII, **the following entities are excluded by Ministry of economy, Industry and Competitiveness funding:**

- *Instituciones sanitarias públicas y privadas sin ánimo de lucro, vinculadas con el Sistema Nacional de Salud y que desarrollen actividad investigadora.*
- *Institutos de investigación sanitaria acreditados conforme a lo establecido en el Real Decreto 339/2004, de 27 de febrero, y normas complementarias.*

In the event of exhaustion of funds by one funding organization, ISCIII and Ministry of Economy, Industry and Competitiveness reserve the right to transfer the affected project to the funding organization with remaining funds.

Although private enterprises will not be funded through the APCIN Call, the Spanish industrial sector is welcome to participate in the transnational consortia using their own funds.

Final rules on eligibility will be defined in the APCIN 2017 (or its equivalent), to be published [here](#)

### **Eligible PIs:**

#### Mandatory:

Spanish Principal Investigators must be eligible according to the *APCIN 2017* (or its equivalent) and must have experience as investigators in projects funded by the *Plan Nacional I+D+i 2008-2011*, the *Plan Estatal I+D+i 2013-2016*, ERC Grants, European Framework Programmes or other relevant international programmes.

#### Not allowed:

- Principal Investigators are not allowed to apply for funding in more than one proposal of this HDHL-INTIMIC call.
- Principal Investigators are not allowed to apply for funding in more than one proposal in the *APCIN 2017* (or its equivalent). This must be taken into account when participating in different JPIs, ERA-Nets or other international initiatives.
- Principal Investigators who obtained funding in the *APCIN 2016* call are not allowed to apply neither in *APCIN 2017* (or its equivalent) nor in this transnational HDHL-INTIMIC call.
- Principal Investigators have to remain unchanged between the pre-proposal stage, the full proposal stage and the National *APCIN 2017* call (or its equivalent).

Ministry of Economy, Industry and Competitiveness will avoid double funding (overlapping with other EU or National funding), and will not grant projects or parts of projects already funded.

### **Eligible costs:**

#### Eligible Costs:

- Personnel costs for temporary employment contracts (scholarships are not eligible).
- Current costs, small scientific equipment, disposable materials, travelling expenses and other costs that can be justified as necessary to carry out the proposed activities.

#### Ineligible Costs:

- Indirect costs (overheads) or clinical trials (proofs of concept, proofs of principle) are not eligible for funding in the *APCIN* call.

### **Maximum requested per project:**

The following funding limits are considered eligibility criteria by Ministry of Economy, Industry and Competitiveness. Proposals not respecting these limits can be declared ineligible.

The maximum amount of funding is 150,000 € per proposal and legal entity (additional 50,000 € if the Spanish group leader is the coordinator of the international consortium).

When two legal entities eligible for MINECO funding participate in the same proposal, the total funding requested to MINECO should not exceed 200,000 € per proposal (additional 50,000 € if the coordinator of the international consortium is based in Spain).

Centres formed by different Spanish legal entities will be considered as one unique entity, and thus the maximum funding should not exceed 150,000 € per proposal (for example, mixed centres).

The final funding will take into account the transnational evaluation of the collaborative proposal, the scientific quality of the Spanish group, the added value of the international collaboration, the participation of the industrial sector and the financial resources available.

### **Mandatory acknowledgment:**

Any publication or dissemination activity resulting from the granted projects must acknowledge Ministry of Economy, Industry and Competitiveness funding: "Project (reference nº XX) funded by Ministry of Economy, Industry and Competitiveness through *APCIN 2017*".

## SWEDEN

### The Swedish Research Council Formas (Formas)



#### Eligibility:

To be eligible to apply for Formas' grants main applicants and participating researchers must have a doctoral degree. Other people participating in the project (e.g. doctoral students, technicians, lab assistants) do not need to have a doctoral degree.

Formas grants for research projects can only be administered by a Swedish university, University College or other Swedish public organisation that fulfils the grant administrating organisation eligibility requirements of Formas. Please see Formas' requirements for "Grant administrating-organisations"

Projects applying for Formas' grants should be within the area of responsibility of Formas.

#### Funding:

Project grants from Formas may be used for the financing of salary for researchers, doctoral students (up to three years) and technical staff (including social-security contributions). Grants may also be used to finance running costs (e.g. consumables, equipment under 500 kSEK, travel, conferences, and publication in open access journals), equipment depreciation costs and premises costs. Note that Formas does not grant funding for salary increases. The total salary costs awarded by Formas for an individual researcher, doctoral student or other member of the project may never exceed 100 per cent of a full-time position. Fully retired researchers cannot obtain funds for their salary from Formas. Please see also "Guidelines for use of funding"

#### Additional requirements:

Swedish applicants in the same consortium must be associated to a single principal investigator. At full proposal stage the Swedish principal investigators will be invited to submit the same proposal directly to Formas (in the application system Prisma). Information needed for the submission will be provided in a separate invitation.

The final decision on funding for Swedish research partners will be taken by Formas' Scientific Council. Decisions about grant awards are not subject to appeal.

Each Swedish principal investigator awarded funding must submit a financial and scientific report to Formas when the project has ended.

Researchers who receive funding from Formas must guarantee that their research results will be available through Open Access within six months of publication.

When publishing results from projects funded by Formas it must be clearly acknowledged that Formas has contributed project funding. Formas should be acknowledged as follows: "The Swedish Research Council Formas" or "The Research Council Formas".

For additional information regarding for example Formas' ethical guidelines and issues concerning public and open access please see Formas "General instructions"



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